



***Substitute Senate Bill No. 840***

***Public Act No. 13-233***

***AN ACT CONCERNING NEXT GENERATION CONNECTICUT.***

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Section 10a-109b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2013*):

(a) The purpose of The University of Connecticut 2000 Act is to promote the welfare and prosperity of the people of the state and the continuation and improvement of their educational opportunities by approving a special capital improvement program for The University of Connecticut and enabling The University of Connecticut to borrow money and enter into financing transactions in its own name, on behalf of the state, to expand the authority of The University of Connecticut to construct projects and to assure a state commitment to support the financing of the acquisition, construction, reconstruction, improvement and equipping of facilities, structures and related systems for the benefit of the educational and economic development needs of the state and The University of Connecticut, all to the public benefit and good, and the exercise of the powers, to the extent and manner provided in The University of Connecticut 2000 Act, is declared to be for a public purpose and to be the exercise of an essential governmental function.

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(b) The purposes of sections 10a-109gg to 10a-109mm, inclusive, and the provisions of sections 10a-109c to 10a-109e, inclusive, 10a-109g, 10a-109n and 32-41s relating to The University of Connecticut Health Center and John Dempsey Hospital are to advance health care, education and economic development in the state by (1) encouraging collaboration, innovation, job creation and new investment by The University of Connecticut, various hospitals and other institutions involved in the health and bioscience industries of the state; (2) enabling (A) the construction of a new bed tower at John Dempsey Hospital, and (B) the renovation of academic, clinical and research space and the construction of a new ambulatory care center at The University of Connecticut Health Center to enhance the academic, clinical and research missions of The University of Connecticut School of Medicine and School of Dental Medicine; (3) facilitating the assumption of operational control of the neonatal intensive care unit located at John Dempsey Hospital by Connecticut Children's Medical Center resulting in a regional children's health care system and an increased adult medical surgical bed capacity for John Dempsey Hospital; (4) supporting the development of (A) a comprehensive cancer center to expand clinical trials and advance patient care at multiple sites in the Hartford region, (B) a state-of-the-art simulation and conference center to be located on the campus of Hartford Hospital that will be used to educate and train health care professionals utilizing new technologies and simulated care settings, (C) a primary care institute located on the campus of Saint Francis Hospital and Medical Center, the Connecticut Institute for Primary Care Innovation, that is intended to increase the number of primary care providers in the state by engaging in research and training to facilitate the effective delivery of primary care, (D) a health disparities institute sponsored by The University of Connecticut that will enhance research and the delivery of care to the minority and medically underserved populations of the state, (E) a Permanent Regional Phase One Clinical Trials Unit to be located at The Hospital of Central

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Connecticut, (F) a cancer treatment center to be located in its entirety within the city of New Britain, and (G) patient room renovations at Bristol Hospital; and (5) fostering growth in the bioscience sector of the state's economy by extending the same benefits afforded to businesses located in an enterprise zone to businesses that are engaged in bioscience and located in the city of Hartford or certain areas in the town of Farmington, the city of New Britain and the city of Bristol.

(c) The purposes of the construction, renovations, infrastructure and equipment related to Next Generation Connecticut are to: (1) Develop preeminence in The University of Connecticut's research and innovation programs, (2) hire and support outstanding faculty, (3) train and educate graduates to meet the future workforce needs of Connecticut, and (4) initiate collaborative partnerships that lead to scientific and technological breakthroughs. For purposes of this section and section 3 of this act, "Next Generation Connecticut" means UConn 2000, as modified by this act.

Sec. 2. (*Effective July 1, 2013*) Not later than December 31, 2019, and five years thereafter, the university shall conduct an assessment of the university's progress in meeting the purposes set forth in subsection (c) of section 10a-109b of the general statutes, as amended by this act. The university shall select peers from nationally-ranked research universities to conduct such assessment. The selected peers shall seek input from the chairpersons and ranking members of the joint standing committees of the General Assembly having cognizance of matters relating to finance, revenue and bonding, commerce and higher education prior to the completion of such assessment.

Sec. 3. (*Effective July 1, 2013*) The University of Connecticut shall develop a comprehensive plan to guide Next Generation Connecticut investments. The university shall develop such plan in consultation with: (1) An industry advisory board selected by the university that shall be representative of the state's science, technology, engineering

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and math-related industries and shall include, but not be limited to, chief science officers or chief technology officers from such industries; (2) an independent research and development advisory firm selected by the university; (3) university academic leaders; (4) federal and private funding agencies; and (5) research and innovation benchmarks identified by the university and an analysis of the university's progress in meeting such benchmarks in comparison to nationally-ranked research universities. Such plan shall identify strategic growth areas for the research, innovation, workforce and economic development needs of Connecticut. The industry advisory board, independent research and development advisory firm and university academic leaders shall seek input from the chairpersons and ranking members of the joint standing committees of the General Assembly having cognizance of matters relating to finance, revenue and bonding, commerce and higher education prior to the completion of the plan. The Board of Trustees of The University of Connecticut shall review and approve such plan not later than July 1, 2014.

Sec. 4. Subdivision (10) of subsection (a) of section 10a-109d of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2013*):

(10) To borrow money and issue securities to finance the acquisition, construction, reconstruction, improvement or equipping of any one project, or more than one, or any combination of projects, or to refund securities issued after June 7, 1995, or to refund any such refunding securities or for any one, or more than one, or all of those purposes, or any combination of those purposes, and to provide for the security and payment of those securities and for the rights of the holders of them, except that the amount of any such borrowing, the special debt service requirements for which are secured by the state debt service commitment, exclusive of the amount of borrowing to refund securities, or to fund issuance costs or necessary reserves, may not

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exceed the aggregate principal amount of (A) for the fiscal years ending June 30, 1996, to June 30, 2005, inclusive, one billion thirty million dollars, (B) for the fiscal years ending June 30, 2006, to June 30, [2018] 2024, inclusive, [one billion seven hundred nineteen million nine hundred thousand] three billion two hundred seventy million nine hundred thousand dollars, and (C) such additional amount or amounts: (i) Required from time to time to fund any special capital reserve fund or other debt service reserve fund in accordance with the financing transaction proceedings, and (ii) to pay or provide for the costs of issuance and capitalized interest, if any; the aggregate amounts of subparagraphs (A), (B) and (C) of this subdivision are established as the authorized funding amount, and no borrowing within the authorized funding amount for a project or projects may be effected unless the project or projects are included in accordance with subsection (a) of section 10a-109e;

Sec. 5. Subsection (a) of section 10a-109e of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2013*):

(a) The university may administer, manage, schedule, finance, further design and construct UConn 2000, to operate and maintain the components thereof in a prudent and economical manner and to reserve for and make renewals and replacements thereof when appropriate, it being hereby determined and found to be in the best interest of the state and the university to provide this independent authority to the university along with providing assured revenues therefor as the efficient and cost effective course to achieve the objective of avoiding further decline in the physical infrastructure of the university and to renew, modernize, enhance and maintain such infrastructure, the particular project or projects, each being hereby approved as a project of UConn 2000, and the presently estimated cost thereof being as follows:

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UConn 2000 Project	Phase I Fiscal Years 1996-1999	Phase II Fiscal Years 2000-2005	Phase III Fiscal Years [2005-2018] <u>2005-2024</u>
<u>Academic and Research Facilities</u>			<u>450,000,000</u>
Agricultural Biotechnology Facility	9,400,000		
Agricultural Biotechnology Facility Completion		10,000,000	
Alumni Quadrant Renovations		14,338,000	
Arjona and Monteith (new classroom buildings)			66,100,000
Avery Point Campus Undergraduate and Library Building			35,000,000
Avery Point Marine Science Research Center – Phase I	34,000,000		
Avery Point Marine Science Research Center – Phase II		16,682,000	

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Avery Point Renovation	5,600,000	<u>15,000,000</u>
Babbidge Library	0	
Balancing Contingency	5,506,834	
Beach Hall Renovations		10,000,000
Benton State Art Museum Addition	1,400,000	3,000,000
Biobehavioral Complex Replacement		4,000,000
Bishop Renovation		8,000,000
Budds Building Renovation	2,805,000	
Business School Renovation	4,803,000	
Chemistry Building	53,700,000	
Commissary Warehouse		1,000,000
Deferred Maintenance/ Code/ADA Renovation Lump Sum	39,332,000	[215,000,000] <u>805,000,000</u>
Deferred Maintenance &		

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Renovation Lump Sum		
Balance	104,668,000	
East Campus North		
Renovations	11,820,000	
Engineering Building (with Environmental Research Institute)		36,700,000
Equine Center	1,000,000	
Equipment, Library Collections & Telecommunications	60,500,000	[200,000,000] <u>470,000,000</u>
Equipment, Library Collections & Telecommunications Completion	182,118,146	
Family Studies (DRM) Renovation		6,500,000
Farm Buildings Repairs/ Replacement		6,000,000
Fine Arts Phase II		20,000,000
Floriculture Greenhouse		3,000,000



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Gant Building Renovations		34,000,000
Gant Plaza Deck	0	
Gentry Completion		10,000,000
Gentry Renovation	9,299,000	
Grad Dorm Renovations	7,548,000	
Gulley Hall Renovation	1,416,000	
Hartford Relocation Acquisition/Renovation	56,762,020	<u>70,000,000</u>
Hartford Relocation Design	1,500,000	
Hartford Relocation Feasibility Study	500,000	
Heating Plant Upgrade	10,000,000	
Hilltop Dormitory New	30,000,000	
Hilltop Dormitory Renovations	3,141,000	
Ice Rink Enclosure	2,616,000	
Incubator Facilities		10,000,000
International House		

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Conversion	800,000	
Intramural, Recreational and Intercollegiate Facilities		31,000,000
Jorgensen Renovation		7,200,000
Koons Hall Renovation/ Addition		7,000,000
Lakeside Renovation		3,800,000
Law School Renovations/ Improvements		15,000,000
Library Storage Facility		5,000,000
Litchfield Agricultural Center – Phase I	1,000,000	
Litchfield Agricultural Center – Phase II		700,000
Manchester Hall Renovation		6,000,000
Mansfield Apartments Renovation	2,612,000	
Mansfield Training School Improvements	27,614,000	29,000,000

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Natural History Museum Completion		4,900,000
North Campus Renovation	2,654,000	
North Campus Renovation Completion		21,049,000
North Hillside Road Completion		11,500,000
North Superblock Site and Utilities	8,000,000	
Northwest Quadrant Renovation	2,001,000	
Northwest Quadrant Renovation		15,874,000
Observatory		1,000,000
Old Central Warehouse		18,000,000
Parking Garage #3		[15,000,000] <u>78,000,000</u>
Parking Garage – North	10,000,000	
Parking Garage – South		15,000,000

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Pedestrian Spinepath	2,556,000	
Pedestrian Walkways	3,233,000	
Psychology Building Renovation/Addition		20,000,000
Residential Life Facilities		[90,000,000]
		<u>162,000,000</u>
Roadways	10,000,000	
School of Business	20,000,000	
School of Pharmacy/ Biology	3,856,000	
School of Pharmacy/ Biology Completion	61,058,000	
Shippee/Buckley Renovations	6,156,000	
Social Science K Building	20,964,000	
South Campus Complex	13,127,000	
Stamford Campus Improvements/ <u>Housing</u>		[3,000,000]
		<u>13,000,000</u>
Stamford Downtown		

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Relocation – Phase I	45,659,000	
Stamford Downtown Relocation – Phase II	17,392,000	
Storrs Hall Addition		4,300,000
Student Health Services		12,000,000
Student Union Addition	23,000,000	
Support Facility (Architectural and Engineering Services)		2,000,000
Technology Quadrant – Phase IA	38,000,000	
Technology Quadrant – Phase IB	16,611,000	
Technology Quadrant – Phase II	72,000,000	
Technology Quadrant – Phase III	15,000,000	
Torrey Life Science Renovation	17,000,000	
Torrey Renovation Completion and Biology		

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Expansion		42,000,000
Torrington Campus Improvements		1,000,000
Towers Renovation	17,794,000	
UConn Products Store		1,000,000
Undergraduate Education Center	650,000	
Undergraduate Education Center	7,450,000	
Underground Steam & Water Upgrade	3,500,000	
Underground Steam & Water Upgrade Completion	9,000,000	
University Programs Building – Phase I	8,750,000	
University Programs Building – Phase II Visitors Center	300,000	
Waring Building	7,888,000	
Waterbury Downtown		

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Campus		3,000,000
Waterbury Property Purchase	325,000	
West Campus Renovations	14,897,000	
West Hartford Campus Renovations/ Improvements		25,000,000
White Building Renovation	2,430,000	
Wilbur Cross Building Renovation	3,645,000	
Young Building Addition		17,000,000
HEALTH CENTER		
CLAC Renovation Biosafety Level 3 Lab		14,000,000
Deferred Maintenance/ Code/ADA Renovation Sum – Health Center		[50,000,000] <u>61,000,000</u>
Dental School Renovation		5,000,000
Equipment, Library		

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Collections and Telecommunications – Health Center	75,000,000
Library/Student Computer Center Renovation	5,000,000
Main Building Renovation	125,000,000
Medical School Academic Building Renovation	9,000,000
Parking Garage – Health Center	8,400,000
Research Tower	60,000,000
Support Building Addition/ Renovation	4,000,000
The University of Connecticut Health Center New Construction and Renovation	394,900,000
Planning and Design Costs	25,000,000
Total – Storrs and Regional Campus Project List	[1,043,000,000] <u>2,583,000,000</u>
Total – Health Center Project List	[775,300,000] <u>786,300,000</u>



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TOTAL	382,000,000	868,000,000	[1,818,300,000]
			<u>3,369,300,000</u>

Sec. 6. Subdivision (1) of subsection (a) of section 10a-109g of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2013*):

(a) (1) The university is authorized to provide by resolution, at one time or from time to time, for the issuance and sale of securities, in its own name on behalf of the state, pursuant to section 10a-109f. The board of trustees of the university is hereby authorized by such resolution to delegate to its finance committee such matters as it may determine appropriate other than the authorization and maximum amount of the securities to be issued, the nature of the obligation of the securities as established pursuant to subsection (c) of this section and the projects for which the proceeds are to be used. The finance committee may act on such matters unless and until the board of trustees elects to reassume the same. The amount of securities the special debt service requirements of which are secured by the state debt service commitment that the board of trustees is authorized to provide for the issuance and sale in accordance with this subsection shall be capped in each fiscal year in the following amounts, provided, to the extent the board of trustees does not provide for the issuance of all or a portion of such amount in a fiscal year, all or such portion, as the case may be, may be carried forward to any succeeding fiscal year and provided further, the actual amount for funding, paying or providing for the items described in subparagraph (C) of subdivision (10) of subsection (a) of section 10a-109d, as amended by this act, may be added to the capped amount in each fiscal year:

Fiscal Year

Amount

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1996	\$112,542,000
1997	112,001,000
1998	93,146,000
1999	64,311,000
2000	130,000,000
2001	100,000,000
2002	100,000,000
2003	100,000,000
2004	100,000,000
2005	100,000,000
2006	79,000,000
2007	89,000,000
2008	115,000,000
2009	140,000,000
2010	0
2011	138,800,000
2012	157,200,000
2013	143,000,000
2014	[198,000,000] <u>204,400,000</u>
2015	[208,500,000] <u>315,500,000</u>
2016	[199,500,000] <u>312,100,000</u>
2017	[160,900,000] <u>266,400,000</u>
2018	[91,000,000] <u>269,500,000</u>
<u>2019</u>	<u>251,000,000</u>
<u>2020</u>	<u>269,000,000</u>
<u>2021</u>	<u>191,500,000</u>
<u>2022</u>	<u>144,000,000</u>
<u>2023</u>	<u>112,000,000</u>
<u>2024</u>	<u>73,500,000</u>

Sec. 7. Subsection (a) of section 10a-109n of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2013*):

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(a) For the period from July 1, 2001, to June 30, [2018] 2024, or until completion of the UConn 2000 infrastructure improvement program, whichever is later, the university shall have charge and supervision of the design, planning, acquisition, remodeling, alteration, repair, enlargement or demolition of any real asset or any other project on its campuses.

Sec. 8. Section 10a-109x of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2013*):

(a) Not later than October 1, 1995, and semiannually thereafter, the university shall report to the Governor and the joint standing committees of the General Assembly having cognizance of matters relating to the Department of Education, to finance, revenue and bonding, and to appropriations and the budgets of state agencies on the status and progress of UConn 2000. Each report shall include, but not be limited to: (1) Information on the number of projects and securities authorized, approved and issued hereunder including, relative to such projects, project costs, timeliness of completion and any problems which have developed in implementation, and a schedule of projects remaining and their expected costs; (2) the amount of revenue available from all sources for such remaining projects and expected receipts for such remaining projects for the succeeding four quarters; (3) the amount of money raised from private sources for the capital and endowment programs and the progress made in the development and implementation of the fund-raising program; and (4) any cooperative activities with other public and independent institutions of higher education commenced in the preceding six months. Each such report shall, for the preceding six-month period, (A) specify the moneys credited to such fund on account of, or derived from, each source of state and federal revenue, (B) specify the amount of investment earnings from the fund, (C) specify the moneys from such fund applied and expended for (i) the payment of debt service

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requirements, (ii) the payment of the principal of and interest on securities issued hereunder and general obligation bonds of the state issued for university capital improvement purposes, and (iii) each budgeted account under the annual budget appropriation made to the university.

(b) Commencing January 1, 2000, the first semiannual report in each year submitted in accordance with subsection (a) of this section shall include such information as requested by the bonding subcommittee of the joint standing committees of the General Assembly having cognizance of matters relating to finance, revenue and bonding, commerce and higher education including but not limited to: (1) The use of bond funds in the current fiscal year, (2) projected use of bond funds for the next succeeding fiscal year, (3) an updated master plan for the balance of the project, and (4) the use of Connecticut-owned businesses, including businesses owned by women and minorities. In the event that said bonding subcommittee determines that there has been a significant change in the economic circumstances of the state sufficient to warrant recommendations for modification of the program, the subcommittee may make recommendations for appropriate action to said committee.

(c) Not later than January 1, 2016, and annually thereafter, the university shall report, in accordance with the provisions of section 11-4a, to the joint standing committees of the General Assembly having cognizance of matters relating to finance, revenue and bonding, commerce and higher education on its progress toward achieving the goals set forth in the plan developed pursuant to section 3 of this act. The report shall include a summary of the research and economic development activities of the university, including, but not limited to, (1) research proposals, research awards and research expenditures; (2) student applications, student enrollment and degrees awarded at the bachelor's, master's and doctoral levels; (3) industry partnerships

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including joint projects, consortium projects and incubator support; (4) a summary of university and joint university-industry intellectual property activities, including the number of disclosures, patents, licenses, new businesses and entrepreneurial activities established with university technologies; and (5) identification of research and innovation benchmarks and an analysis of the university's progress in meeting such benchmarks in comparison to nationally-ranked research universities.

Sec. 9. (*Effective July 1, 2013*) (a) The University of Connecticut shall develop a strategic master plan that encompasses all of its academic programs. Such plan shall address and set forth strategic goals and objectives for the university and such programs, as applicable.

(b) Not later than July 1, 2015, the university shall submit the strategic master plan, in accordance with the provisions of section 11-4a of the general statutes, to the joint standing committees of the General Assembly having cognizance of matters relating to higher education, and finance, revenue and bonding. Said committees shall hold a joint public hearing regarding the plan not later than thirty days after receiving the plan.

Approved June 19, 2013